

Please type a plus sign (+) inside this box →

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

<b>Attorney Docket No.</b>	180022.93395
<b>First Inventor</b>	Michael G. Onsager
<b>Title</b>	DRAGLINE EXCAVATING MACHINE . . .
<b>Express Mail Label No.</b>	EV 310099229 US

O S PRO  
10/621644  
039071  
07/17/03



## APPLICATION ELEMENTS

- See MPEP chapter 600 concerning utility patent application contents.
1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
  2.  Applicant claims small entity status.  
See 37 CFR 1.27.
  3.  Specification [Total Pages 16]  
*(preferred arrangement set forth below)*
    - Descriptive title of the invention
    - Cross Reference to Related Applications
    - Statement Regarding Fed sponsored R & D
    - Reference to sequence listing, a table, or a computer program listing appendix
    - Background of the Invention
    - Brief Summary of the Invention
    - Brief Description of the Drawings *(if filed)*
    - Detailed Description
    - Claim(s)
    - Abstract of the Disclosure
  4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 10 ]
  5. Oath or Declaration [ Total Pages 3 ]
    - a.  Newly executed (original or copy)
    - b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*

i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
  6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney *(when there is an assignee)*
11.  English Translation Document *(if applicable)*
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	26710		<input type="checkbox"/> Correspondence address below
Name	PATENT TRADEMARK OFFICE		
Address			
City	State	Zip Code	
Country	Telephone	Fax	
Name (Print/Type)	Terri S. Flynn		Registration No. (Attorney/Agent) 41,756
Signature			Date July 17, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

1763B U.S.  
TO  
07/17/03

Express Mail Label No. EV 310099229 US

PTO/SB/17 (5-03)

Approved for use through 10/31/2003.  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

03807 U.S. PTO  
10/621644  
07/17/03

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## FEE TRANSMITTAL for FY 2003

*Patent fees are subject to annual revision.*

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$)	786.00
-------------------------	------	--------

### Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Michael G. Onsager
Examiner Name	
Group Art Unit	
Attorney Docket No.	180022.93395

### METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account Number	17-0055
Deposit Account Name	Quarles & Brady LLP

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION (continued)

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	750
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ 750.00)

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
22	18		-20** =	2 x 18	= 36.00
3			-3** =	0 x 0	= 0.00

Large Entity	Small Entity	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 36.00)

*\*\*or number previously paid, if greater; For Reissues, see above*

#### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2050	65	Surcharge - late filing fee or oath	
1052 50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053	130	Non-English specification	
1812 2,520	1812	2,520	For filing a request for ex parte reexamination	
1804 920	1804	920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251	55	Extension for reply within first month	
1252 410	2252	205	Extension for reply within second month	
1253 930	2253	465	Extension for reply within third month	
1254 1,450	2254	725	Extension for reply within fourth month	
1255 1,970	2255	985	Extension for reply within fifth month	
1401 320	2401	160	Notice of Appeal	
1402 320	2402	160	Filing a brief in support of an appeal	
1403 280	2403	140	Request for oral hearing	
1451 1,510	1451	1,510	Petition to institute a public use proceeding	
1452 110	2452	55	Petition to revive - unavoidable	
1453 1,300	2453	650	Petition to revive - unintentional	
1501 1,300	2501	650	Utility issue fee (or reissue)	
1502 470	2502	235	Design issue fee	
1503 630	2503	315	Plant issue fee	
1460 130	1460	130	Petitions to the Commissioner	
1807 50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806	180	Submission of Information Disclosure Stmt	
8021 40	3021	40	Recording each patent assignment per property (times number of properties)	
1809 750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801 750	2801	375	Request for Continued Examination (RCE)	
1802 900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 0.00)

### SUBMITTED BY

Name (Print/Type)	Terri S. Flynn	Registration No. (Attorney/Agent)	41,756	Telephone	414.277.5000
Signature				Date	July 17, 2003

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.** 5442445\_1

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.